

ARIZONA STATE BOARD OF PHYSICAL THERAPY

4205 NORTH 7TH AVENUE, SUITE 208 PHOENIX, ARIZONA 85013 (602) 274-0236 Fax (602) 274-1378 www.ptboard.az.gov

REGULAR SESSION MEETING MINUTES March 22, 2016

Melinda Richardson, PT, MA; President
James E. Miller, PT, DPT, Vice President
Al D'Appollonio, PT, MBA; Secretary
Michael S. Clinton, CPA; Member

MEMBERS ABSENT: Peggy Hunter, PTA, CLT; Member

Nushka Remec, PT, MS, PCS

OTHERS PRESENT IN PERSON: Charles D. Brown, Executive Director

Veronica Cardoza, Licensing Administrator

Karen Donahue, Senior Investigator Mona Baskin, Assistant Attorney General

Monica Crowley, Office Manager

1) CALL TO ORDER – 8:30 a.m.; Ms. Richardson called the meeting to order at 8:30 a.m. (A recording of the meeting is available through the Board Office)

Roll Call - The following Board members were present: Ms. Richardson, Dr. Miller Mr. Clinton and Mr. D'Appollonio.

2) Review and Approval of Draft Minutes

a) February 23, 2016; Regular Session Meeting Minutes

Dr. Miller requested minor changes to page 5 of the minutes. Ms. Richardson moved the Board to approve the February 23, 216, regular session meeting minutes with the suggested changes. Dr. Miller seconded the motion. The motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

b) February 23, 2016; Executive Session Meeting Minutes

Mona Baskin, Assistant Attorney General requested minor grammatical changes to the minutes. Ms. Richardson moved the Board to approve the February 23, 216, Executive Session meeting minutes with the suggested changes. Mr. D'Appollonio seconded the motion. The motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

3) CONSENT AGENDA: REVIEW, CONSIDERATION and ACTION

- a) Applications for Licensure and Certification
 - i) Review, Consideration and Approval of Applications of Physical Therapist Licensure upon Receipt of Passing Scores on the NPTE/AZLAW and Final Transcript

Misty Brady	Stephen Calderon	Jennifer Churchill
Nicole DelQuadri	Jamie Echols	Heather Kellen
Deanna Frey	Chad Hadley	Carla Lempert
Kate Knieling	Thomas Kranda	Michelle Oswald
Anna McNamara	Tamara Ordas	Lori Thompson
Richard Prentiss	Adam Stuart	Matthew Warner
Bridgett Wallace	Jill Walker	Kimberly Youngs Grand
Kayla Wetch		

Ms. Richardson pulled Jamie Echols and Mr. D'Appollonio pulled Jill Walker from the consent agenda. Ms. Richardson moved the Board approve the above applications upon Receipt of Passing Scores on the NPTE/AZLAW and Final Transcript. Mr. D'Appollonio seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X

Nay				
Recused				
Abstained				
Absent		X	X	

Jamie Echols

Dr. Miller stated Ms. Echols attended a Mohave County community college and is graduating with an Associated Degree and was applying for PTA not PT. Dr. Miller moved the Board approve the application for Physical Therapist Assistant Certification upon Receipt of Passing Scores on the NPTE/AZLAW and Final Transcript. Ms. Richardson seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

Jill Walker

Ms. D'Appollonio reviewed a letter sent in by the Ms. Walker stating she has taken continuing education units but has not practice since 1994. Ms. Walker also stated she is furthering her education in DPT. Dr. Miller moved the Board require a supervised clinical practice period (SCPP) under an interim permit to verify the applicants competence as allowed under A.R.S 32-2025. The SCPP plan is to be brought back to the Board for final approval. Ms. Richardson seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

ii) Review, Consideration and Approval of Applications for Physical Therapist Assistant Certification upon Receipt of Passing Scores on the NPTE/AZLAW and Final Transcript.

Marion Ciolac	Kerry Cobb	Jessica Cool
Joshua Cummings	Emily Estes	Ellen Fenton
Stephanie Haugen	Kelli Kramp	Aaron Kreko
Elizabeth Nunn	Elise Olson	Madison Pitzer
Kayla Schneider	Carolyn Terkla	Tiffany Fiscus

Ms. D'Appollonio pulled Elise Olson from the consent agenda. Ms. Richardson moved the Board approve the above applications upon receipt of passing scores on the NPTE/AZLAW exams and final transcripts. Dr. Miller seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

Elise Olson

Mr. D'Appollonio stated Ms. Olson is applying for PT not PTA. Mr. D'Appollonio moved the Board approve the application for Physical Therapist Licensure upon Receipt of Passing Scores on the NPTE/AZLAW and Final Transcript. Ms. Richardson seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

Consent Agenda Ends

4) Review, Consideration and Action on Applications for Licensure and Certification

- a) Review, Consideration and Approval of Applications for Physical Therapist upon Receipt of Passing Scores on the NPTE/AZLAW and Final Transcript and with documentation Related to Disclosure on "Personal Information" section of the application
 - 1) Thomas Beguin
 - 2) Madeleine Bennett
 - 3) Benjamin Haag
 - 4) Sara Bergman
 - 5) Nicholas Kothe
 - 6) Andrew Noble
 - 7) Timothy Oxtoby
 - 8) Bethany Roessler
 - 9) Thomas Russell
 - 10) Victor Sanchez
 - 11) Todd Waterkotte
 - 12) Harry Wong
 - 13) Mark Weststeyn
 - 14) Whitnee Wray
 - 15) Gregory McLarty

Mr. D'Appollonio pulled Thomas Russel. Ms. Richardson moved the Board approve the above applications upon Receipt of Passing Scores on the NPTE/AZLAW and Final Transcript. Mr. D'Appollonio seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

Thomas Russel

The Board discussed Mr. Russel's recent theft charges. Mr. D'Appollonio moved the Board they allow Mr. Russel to move forward with testing NPTE and AZLAW and pend licensure until further records are collected by the staff regarding any additional or outstanding charges. Ms. Richardson seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr.	Ms. Hunter	Dr. Miller	Ms. Remec	Ms.
		D'Appollonio				Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

6) COMPLAINTS, HEARINGS, INVESTIGATIONS and COMPLIANCE

- e) Review, Discussion, and Action on Complaint Voted to Informal Hearing; Consideration of Consent Agreement
 - i) Case #15-30; Michelle Bambenek, PT

Ms. Bambenek was present and was represented by Mr. Scott King. Mr. King provided a statement. The Board reviewed and discussed the case. Ms. Richardson moved the Board to amend the current consent agreement to focus the monitoring on review of the policies and procedures, clinical practice if any and quality control measures. Require the licensee and monitor review any recommendations after the initial audit and make changes based on recommendations. There will be a minim of two reviews. One to review the current policies and procedures and make any recommendations. Two, verify changes. The licensee has the option to request early termination once she has completed the previsions. Mr. D'Appollonio seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

d) Review, Discussion, and Action on Consent Agreement

i) Case #15-12; Kelly DeLong, PT

Ms. DeLong was present and was not represented by legal counsel. Ms. DeLong provided a statement. The Board reviewed and discussed the case. Ms. Richardson moved the Board to amend the order to continue the suspension, require the monthly reports from the therapist and the specialist and request when they are prepared to provide a report on recommendations for re-entry into practice and parameters regarding any restrictions to practice. Mr. Clinton seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

The Board recessed from 9:50 am to 10:00 am

- b) Informal Hearing; 9:00 a.m.
 - i) Case #15-28; Deborah Gilsmer, PT

Ms. Gilsmer was present and was not represented by legal counsel but did bring Tammy Begler, PT as her witness. The Board members and Board staff introduced themselves. Both Ms. Gilsmer and Ms. Begler introduced themselves. Ms. Richardson read a summary of how the hearing would be conducted and reviewed the possible outcomes of the hearing. Ms. Donahue, Ms. Gilsmer and Ms. Begler were sworn-in as witnesses. Mr. Brown read the allegations against Ms. Gilsmer:

A) Engaged in the performance of substandard care by a physical therapist due to a deliberate or negligent act or failure to act regardless of whether actual injury to the patient is established.

- 1. Ms. Gilsmer failed to perform a shoulder evaluation after documentation of right shoulder pain limiting function.
- 2. Ms. Gilsmer failed to perform re-evaluation of the patient throughout the episode of care and delegated the re-evaluation to assistive personnel.
- 3. Ms. Gilsmer failed to perform a re-evaluation after changes documented in patient status/acuity.

- 4. Ms. Gilsmer was unaware of patient acuity/current status prior to delegation of treatment to assistive personnel.
- 5. Ms. Gilsmer failed to discharge the patient when documentation may have indicated that the patient had met goals and could have returned to the home environment.
- 6. Ms. Gilsmer failed to manage all aspects of the physical therapy care provided to patient DM.

B) Failed to supervise assistive personnel, physical therapy students or interim permit holders in accordance with this chapter and rules adopted pursuant to this chapter.

- 1. On each date of service, Ms. Gilsmer failed to perform and document each therapeutic intervention that required the expertise of the physical therapist.
 - a. Ms. Gilsmer, on dates of service in which documentation indicates a marked change in patient status or injury to a region of the body that required an evaluation, failed to perform an evaluation/re-evaluation.
 - b. Ms. Gilsmer failed to delegate tasks or interventions for DM on each date that a PTA documented care as indicated in her interview that a physical therapy aide, not herself, determined the patient schedule each date of service.
 - c. Ms. Gilsmer states in her interview that she relied on the PTA's to communicate any change in patient status to her.
 - d. Ms. Gilsmer failed to review the patient record sufficiently to enable her to recognize changes in acuity of the patient, perform re-evaluation(s) and modify the plan of care.
- 2. On each date of service, Ms. Gilsmer failed to determine, based upon patient's acuity and treatment plan, whether it was appropriate to use assistive personnel to perform a selected treatment intervention or physical therapy task for the patient.
 - a. Ms. Gilsmer was not aware of the patient's acuity/ current status prior to delegation to PTA's.
 - b. Ms. Gilsmer did not delegate specific tasks or interventions for patient DM, but rather allowed the PTA's to determine treatment interventions on each date of service.
- 3. Ms. Gilsmer delegated selected acts, tasks or procedures that exceeded the education or training of the PTA's.
 - a. Ms. Gilsmer confirmed in her interview that she allowed PTA's to document and perform re-evaluations on DM.
- 4. Ms. Gilsmer failed to determine the use of PTA to ensure the delivery of care that was safe, effective and efficient.

a. Due to the documentation that supports of a lack of involvement and communication with her assistive personnel, Ms. Gilsmer failed to ensure that the delivery of care was safe, effective and efficient.

C) Failed to adhere to the recognized standards of ethics of the physical therapy profession.

- 1. 2.1(A) "A physical therapist shall place the patient's/client's interest(s) above those of the physical therapist.
- 2. 4.1(A) "A physical therapist shall make professional judgments that are in the patient's/clients best interests."
- 3. 4.1(B) "Regardless of practice setting, the physical therapist has primary responsibility for the physical therapy care of a patient and shall make independent judgments regarding that care consistent with accepted professional standards."
- 4. 4.2(A) "A physical therapist shall not delegate to a less qualified person any activity that requires the professional skill, knowledge and judgment of the physical therapist."
- D) Failed to maintain adequate patient records. For the purposes of this paragraph, "adequate patient records" means legible records that comply with board rules and that contain at a minimum an evaluation of objective findings, a diagnosis, the plan of care, the treatment record, a discharge summary and sufficient information to identify the patient.
 - 1. Records review of patient DM per the investigative report Potential

Ms. Gilsmer declined an opening statement. The Board members asked questions of Ms. Gilsmer. Following the Board's questioning of Ms. Gilsmer both Ms. Gilsmer and Ms. Begler provided a closing statements. Ms. Richardson guided the Board through each allegation for discussion. Following discussion on the allegations Ms. Richardson moved the Board adopt the following findings of fact:

A) Engaged in the performance of substandard care by a physical therapist due to a deliberate or negligent act or failure to act regardless of whether actual injury to the patient is established.

- 1. Ms. Gilsmer failed to perform a shoulder evaluation after documentation of right shoulder pain limiting function.
- 2. Ms. Gilsmer failed to perform re-evaluation of the patient throughout the episode of care and delegated the re-evaluation to assistive personnel.
- 3. Ms. Gilsmer failed to perform a re-evaluation after changes documented in patient status/acuity.

- 4. Ms. Gilsmer was unaware of patient acuity/current status prior to delegation of treatment to assistive personnel.
- 5. Ms. Gilsmer failed to discharge the patient when documentation may have indicated that the patient had met goals and could have returned to the home environment.
- 6. Ms. Gilsmer failed to manage all aspects of the physical therapy care provided to patient DM.

B) Failed to supervise assistive personnel, physical therapy students or interim permit holders in accordance with this chapter and rules adopted pursuant to this chapter.

- 1. On each date of service, Ms. Gilsmer failed to perform and document each therapeutic intervention that required the expertise of the physical therapist.
 - a. Ms. Gilsmer, on dates of service in which documentation indicates a marked change in patient status or injury to a region of the body that required an evaluation, failed to perform an evaluation/re-evaluation.
 - b. Ms. Gilsmer failed to delegate tasks or interventions for DM on each date that a PTA documented care as indicated in her interview that a physical therapy aide, not herself, determined the patient schedule each date of service.
 - c. Ms. Gilsmer states in her interview that she relied on the PTA's to communicate any change in patient status to her.
 - d. Ms. Gilsmer failed to review the patient record sufficiently to enable her to recognize changes in acuity of the patient, perform re-evaluation(s) and modify the plan of care.
- 2. On each date of service, Ms. Gilsmer failed to determine, based upon patient's acuity and treatment plan, whether it was appropriate to use assistive personnel to perform a selected treatment intervention or physical therapy task for the patient.
 - a. Ms. Gilsmer was not aware of the patient's acuity/ current status prior to delegation to PTA's.
 - b. Ms. Gilsmer did not delegate specific tasks or interventions for patient DM, but rather allowed the PTA's to determine treatment interventions on each date of service.
- 3. Ms. Gilsmer delegated selected acts, tasks or procedures that exceeded the education or training of the PTA's.
 - a. Ms. Gilsmer confirmed in her interview that she allowed PTA's to document and perform re-evaluations on DM.
- 4. Ms. Gilsmer failed to determine the use of PTA to ensure the delivery of care that was safe, effective and efficient.

a. Due to the documentation that supports of a lack of involvement and communication with her assistive personnel, Ms. Gilsmer failed to ensure that the delivery of care was safe, effective and efficient.

C) Failed to adhere to the recognized standards of ethics of the physical therapy profession.

- 1. 2.1(A) "A physical therapist shall place the patient's/client's interest(s) above those of the physical therapist.
- 2. 4.1(A) "A physical therapist shall make professional judgments that are in the patient's/clients best interests."
- 3. 4.1(B) "Regardless of practice setting, the physical therapist has primary responsibility for the physical therapy care of a patient and shall make independent judgments regarding that care consistent with accepted professional standards."
- 4. 4.2(A) "A physical therapist shall not delegate to a less qualified person any activity that requires the professional skill, knowledge and judgment of the physical therapist."
- D) Failed to maintain adequate patient records. For the purposes of this paragraph, "adequate patient records" means legible records that comply with board rules and that contain at a minimum an evaluation of objective findings, a diagnosis, the plan of care, the treatment record, a discharge summary and sufficient information to identify the patient.
 - 1. Records review of patient DM per the investigative report
 - 2. Date of Service May 23, 2015 thru July 16, 2015

Dr. Miller seconded the motion. Following review and discussion the motion carries.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

Ms. Richardson moved the Board adopt the following Conclusions of Law:

A.R.S. §32-2044(1): "Violating this chapter, Board rules or a written board order."

A.R.S. §32-2044(4): "Engaging in the performance of substandard care by a physical therapist due to a deliberate or negligent act or failure to act regardless of whether actual injury to the patient is established."

A.R.S. §32-2044(6): "Failing to supervise assistive personnel, physical therapy students or interim permit holders in accordance with this chapter and rules adopted pursuant to this chapter."

A.R.S. §32-2044(12): "Failing to adhere to the recognized standards of ethics of the physical therapy profession."

A.R.S. §32-2044(20): "Failing to maintain adequate patient records. For the purposes of this paragraph, "adequate patient records" means legible records that comply with board rules and that contain at a minimum an evaluation of objective findings, a diagnosis, the plan of care, the treatment record, a discharge summary and sufficient information to identify the patient."

Dr. Miller seconded the motion. Following review and discussion the motion carries.

Vote	Mr. Clinton	Mr.	Ms. Hunter	Dr. Miller	Ms. Remec	Ms.
		D'Appollonio				Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

The Board discussed possible disciplinary action against Ms. Gilsmer license. Following discussion Dr. Miller moved the Board adopt the following Order:

Ms. Gilsmer be placed on probation for twelve months with the possibility for early termination. Ms. Gilsmer shall complete six hours of continuing education in documentation, six hours in ethics, shall take and pass the Arizona Physical Therapy jurisprudence examination within ninety (90) days. All continuing education must be preapproved by Board staff and completed within 120 days. CEU's will not count towards renewal and cannot be completed online. Following completion of the continuing education documentation course Ms. Gilsmer must undergo minimum of three months up to the twelve

month period of Board approved monitoring. Monitoring shall consist of 5 randomly selected charts with the possibility for early termination. Ms. Richardson seconded the motion. Following review and discussion the motion passed by roll call vote.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

a) Formal Hearings; 10:00 a.m.

i) Case #15-34; Kevin Bauer, PTA

ii) Case #15-35; James Bogie, PT

Neither Mr. Bauer nor Mr. Bogie were present and were not represented by legal counsel. Ms. Richardson tabled for later in the meeting to give Mr. Bauer and Mr. Bogie time to appear.

4) Review, Consideration and Action on Applications for Licensure and Certification

b) Review, Consideration and Approval of Applications for Physical Therapist Assistant upon Receipt of Passing Scores on the NPTE/AZLAW and Final Transcript and with documentation Related to Disclosure on "Personal Information" section of the application.

1) Dori West

Ms. Richardson moved the Board approve Ms. West application. Mr. D'Appollonio seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						

Abstained				
Absent		X	X	

5) Review, Consideration and Action on Applications for Licensure and Certification

- a) Review of and Possible Action on the Following Applications for Physical Therapist Licensure Foreign Educated Graduates of Programs Not U.S. Accredited.
 - i) Review of Education, Determination of Supervised Clinical Practice Period, and Possible Licensure

1) Anita Devan

Dr. Miller moved the Board not to approve Ms. Devan's application and find Ms. Devan's studies are not substantially equivalent to a US educated physical therapy. Ms. Devan is deficiency in Professional Education: Metabolic Problems Examination and Evaluation. Ms. Richardson seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

2) Nandita Ramasubramaniam

Ms. Richardson moved the Board approve Ms. Ramasubramaniam application and wave the supervised clinical practice. Mr. D'Appollonio seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						

Recused				
Abstained				
Absent		X	X	

ii) Review of and Possible Licensure of Applicant with Final CPI 1) Mouly Bhatt

Ms. Richardson moved the Board approve Ms. Bhatt application. Mr. D'Appollonio seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

6) COMPLAINTS, HEARINGS, INVESTIGATIONS and COMPLIANCE

- c) Initial Review, Discussion and Action on Complaint
 - i) Case #15-26; Jennifer Lowery, PTA

Ms. Lowery was not present and was not represented by legal counsel. Ms. Donahue provided a summary of the case for the Board Ms. Lowry self-reported DUI arrest that occurred on July 4, 2015. Police records indicate PBT of 0.286%. Ms. Lowry did report the charges against her within the 10 day notification period. Board requested continuation of investigation until resolution of court proceedings. This report includes the court proceeding outcome. This is a second review on an initial complaint. The Board reviewed and discussed the case. Mr. Brown provided the Board with options. Mona Baskin, Assistant Attorney General provided legal advice to the Board. Ms. Richardson moved the Board require Ms. Lowery provide proof of the alcohol screening from the court that was part of her probation with 30 days and present the material after the 30 days. Mr. D'Appollonio seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X

Nay				
Recused				
Abstained				
Absent		X	X	

- f) Review, Discussion, and Action on Consideration of Early Termination of Probation
 - i) Case #14-19; Josh Sponder, PT

Mr. Sponder was not present and was not represented by legal counsel. Mr. D'Appollonio moved the Board approve Mr. Sponder early termination of probation upon receipt of the final \$250.00 Civil Penalty. Ms. Richardson seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

- g) Review, Discussion and Action on Consideration of Compliance With Board Order
 - i) Case #14-51; Lisa Brady, PT

Ms. Brady was not present and was not represented by legal counsel. Ms. Richardson moved Board continue the monitoring until satisfactory compliance with the terms of the order. Mr. D'Appollonio seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

ii) Case #14-53; Nicole Marr, PTA

Ms. Marr was not present and was not represented by legal counsel. Ms. Richardson moved Board continue the monitoring until satisfactory compliance with the terms of the order. Mr. D'Appollonio seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

h) Review, Discussion, and Action on Consideration of Opening A Complaint

i) Andrew Rivera, PTA

Mr. Rivera was not present and was not represented by legal counsel. Ms. Donahue provided a summary of the case for the Board Mr. Rivera did not comply with a previous Board Order. Ms. Richardson moved Board open a complaint against Mr. Rivera for non-compliance. Mr. D'Appollonio seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

ii) Mark Roberts, PT

Mr. Roberts was not present and was not represented by legal counsel. The Board reviewed and discussed the case. Mr. D'Appollonio moved Board open a complaint against Mr. Roberts for misleading advertising. Ms. Richardson seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr.	Ms. Hunter	Dr. Miller	Ms. Remec	Ms.
		D'Appollonio				Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

iii) Sawicki Roberts, PT

Mr. Roberts was not present and was not represented by legal counsel. The Board reviewed and discussed the case. Ms. Richardson moved Board open a complaint against Mr. Roberts for misleading advertising. Mr. D'Appollonio seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

iv) Larry Shauf, PT

Mr. Shauf was not present and was not represented by legal counsel. The Board reviewed and discussed the case. Ms. Richardson moved Board take no action against Mr. Shauf. Mr. D'Appollonio seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

v) Tonya Tinney, PT

Ms. Tinney was not present and was not represented by legal counsel. The Board reviewed and discussed the case. Ms. Richardson moved Board open complaint against Ms. Tinney and request police records related to her reported arrest. Mr. Clinton seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

7) BOARD BUSINESS AND REPORTS

The Board may vote to go into Executive Session pursuant to A.R.S. §38-431.03(A)(3) to obtain legal advice.

- a) Executive Director's Report –Verbal Report
 - i) Financial Report- Verbal Report
 - ii) Review Recent Board Staff Activities Verbal Report
 - iii) Review of Current Legislative Activity (2016) -
 - (1) HB 2501; Transfer of Health Regulatory Boards; Verbal Update
 - (2) HB 2504; Physical Therapy Licensure Compact; Verbal Update
 - iv) Rule Activity- No update to provide
 - v) FSBPT- No update provided

Mr. Brown provided verbal summary on the above topics. No action was taken or required.

8) CALL TO THE PUBLIC

Cynthia Driskell, PT with the Arizona Phyasical Therapy Association gave a statement regarding the Physical Therapy compact.

The Board recessed from 12:00 p.m. to 12:17 p.m.

6) COMPLAINTS, HEARINGS, INVESTIGATIONS and COMPLIANCE

The Board may vote to go into Executive Session pursuant to A.R.S. §38-431.03(A)(2) for purposes of discussing confidential information or §38-431.03(A)(3) to obtain legal advice.

- a) Formal Hearings; 10:00 a.m.
 - i) Case #15-34; Kevin Bauer, PTA

Mr. Bauer was not present and was not represented by legal counsel. Ms. Richardson called the formal hearing to order. Board members and staff introduced themselves. All Board members had been furnished with copies of the Complaint and Notice of Hearing. There was no respondent's answer. Karen Donahue, PT Investigator was sworn in. Ms. Baskin reviewed process by which the respondent was served with the Notice of Hearing. Ms. Richardson provided a review of the proceedings and possible outcomes. Ms. Baskin made an opening statement. Ms. Baskin requested the Board take judicial notice under Rule 201 Arizona Rules of Evidence regarding some facts in this case. State's Exhibits 1 through 6 were provided to the Board members by Ms. Baskin. Ms. Donahue provided testimony. Ms. Baskin made a closing statement. The Board discussed the case.

Following review and discussion Mr. D'Appollonio moved the Board accept Allegations 1 through 12 as Findings of Fact.

PARTIES

- 1. The Arizona State Board of Physical Therapy is the duly constituted authority for licensing and regulating the practice of physical therapy in the State of Arizona.
- 2. Kevin Bauer, P.T.A, holds Certificate No. 11623A, to practice as a physical therapist assistant in the State of Arizona.

Findings of Fact

1. On or about June 18, 2015, following review of Respondent's application for certification as a physical therapist assistant, the Board issued an Order granting certification, subject to a one year term probation. Pursuant to the Order, Respondent was issued Certificate No. 11623A, subject to a one year term of probation.

- 2. Respondent had disclosed on his March 24, 2015, application for certification with the Board, that the state of Ohio had issued a disciplinary 30 day suspension against his Ohio license. The Ohio Consent Agreement and Order for suspension, was signed by Respondent on July 23, 2009. The Ohio Order contained findings of fact and conclusions of law based on Respondent's admission that on multiple occasions he had falsified physical therapy visit forms and /or forged the patient's signature and created notations for treatment which never occurred.
- 3. Pursuant to the Arizona Board Order, the terms of probation included a license restriction that prohibited Respondent from working under the general supervision of a physical therapist for one year from the initial date that Respondent commenced to work as a physical therapist assistant in Arizona. Respondent was required to notify the Board of the name of his Arizona employer, address and phone number, prior to beginning employment. Respondent was required to enter into an agreement with a neutral and objective Board-approved monitor ("Monitor") within 30 days of the effective date of the Order. During the period of probation, the Monitor was required to review Respondent's charts and billing records on a monthly basis which shall include a minimum of three randomly selected patient charts with a minimum of four treatments since the previous chart review. The Order advises Respondent that if he "fails to contact and enter into an agreement with a Board-approved monitor within thirty (30) days from the effective date of this Order, the Board may determine that Respondent has violated this Order and may take additional disciplinary action."
- 4. Pursuant to the Board Order, within 6 months from the effective date of the Order Respondent was required to complete the ProBe Ethics Program and was required to submit evidence of

completion during the term of probation. Pursuant to the Order, Respondent was advised that his failure to comply with the terms and conditions of probation may result in further disciplinary action against his license. Respondent failed to complete the ProBe Ethics Program, within 6 months from the effective date of the Order. To date Respondent has not had any communication with Board staff regarding his compliance with the ProBe Ethics Program requirement of the Order.

- 5. On or about June 29, 2015, Board staff sent Respondent a letter, to his address of record with the Board, confirming the effective date of the Order and the probationary time frames.
- 6. On or about August 10, 2015, Respondent notified Board staff of his place of employment at Palm View Nursing and Rehabilitation, in Yuma, Arizona.
- 7. On or about September 30, 2015, Board staff contacted Respondent by email advising him that he was required to engage a monitoring service under his Board Order. Board staff advised Respondent that the Board had not received confirmation that he had engaged a monitor as required by the Order. Respondent failed to respond to the Board's request for proof of compliance with the monitoring requirement of the Order. Respondent failed to enter into an agreement with a Board-approved monitor within 30 days of the effective date as required by the Board Order.
- 8. On or about November 9, 2015, Board staff contacted Respondent' employer by phone and verified that he was engaging employment as a physical therapist assistant under on-site supervision as required by the Board Order.
- 9. On or about November 9, 2015, Board staff spoke with Respondent and discussed the Board Order requirement that he enter into a contract with a Board-approved Monitor. Respondent

advised Board staff that he had contacted Affiliated Monitors and had been waiting for weeks for Affiliated Monitors to send him a contract. Respondent affirmed to Board staff that he was waiting to hear back from Affiliated Monitors.

- 10. On or about November 10, 2015, Board staff contacted the Director of Monitoring Operations ("Director") of Affiliated Monitors regarding the status of Respondent's contract for monitoring services. The Director contacted Board staff by email and confirmed that Respondent had not engaged Affiliated Monitors for monitoring services. The Director stated that, "We believe that we may have had a brief phone call (with Mr. Bauer) but there is nothing at all pending." The Director further stated that their contact with Respondent was so minimal that they did not even note his contact information or start to draft a contract. Also, the Director stated that he had "queried everyone who might have dealt with him and no one has anything pending."
- 11. On or about November 13, 2015, Board staff sent Respondent notice by certified mail to his address of record with the Board that the Board had opened a complaint against his certificate regarding his noncompliance with the terms of his Order for probation. In the letter Board staff notified Respondent that he had 30 days to submit his response as required by A.A.C. R4-24-305(D)(2). Respondent failed to respond either timely or untimely to the Board's notice of complaint.
- 12. On or about January 26, 2016, the Board reviewed the allegations of Respondent's noncompliance with the Order and voted the matter to formal hearing.

Dr. Miller seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

Mr. D'Appollonio moved the Board accept as Conclusions of Law, the allegations of unprofessional conduct which include violations of A.R.S. §32-2044(1), A.R.S. §32-2044(14), as outlined in the Allegations of Unprofessional Conduct. Dr. Miller seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

Ms. Richardson moved the Board revoke Mr. Bauer's certificate. Mr. Clinton seconded the motion. Following review and discussion the motion passed by roll call vote.

Vote	Mr. Clinton	Mr.	Ms. Hunter	Dr. Miller	Ms. Remec	Ms.
		D'Appollonio				Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

ii) Case #15-35; James Bogie, PT

Mr. Bogie was not present and was not represented by legal counsel. Ms. Richardson called the formal hearing to order. Board members and staff introduced themselves. All Board members had been furnished with copies of the Complaint and Notice of Hearing. There was no respondent's answer. Karen Donahue, PT Investigator was sworn in. Ms. Baskin reviewed process by which the respondent was served with the Notice of Hearing. Ms. Richardson provided a review of the proceedings and possible outcomes. Ms. Baskin made an opening statement. Ms. Baskin requested the Board take judicial notice under Rule 201 Arizona Rules of Evidence regarding some facts in this case. State's Exhibits 1 through 5 were provided to the Board members by Ms. Baskin. Ms. Baskin requested the Board to keep Exhibit 4 protected as it contains confidential medical information. Ms. Donahue provided testimony. Ms. Baskin made a closing statement. The Board discussed the case.

Following review and discussion Mr. D'Appollonio moved the Board accept Allegations 1 through 6 as Findings of Fact.

PARTIES

- 3. The Arizona State Board of Physical Therapy is the duly constituted authority for licensing and regulating the practice of physical therapy in the State of Arizona.
- 4. James Bogie, P.T, holds License No. 5516, to practice as a physical therapist in the State of Arizona.

Findings of Fact

On or about April 23, 2015, Respondent and the Board entered into a Consent Agreement and Order in Case No. 14-35 ("Board Order") for a twelve month period of probation. The Order contained findings that Respondent failed to adequately supervise assistive personnel, failed to maintain adequate patient records, documented and billed for a patient on a date that he did not provide services, and documented and billed for services for a patient prior to providing treatment. Pursuant to the Board Order, Respondent was required to complete 8-hours of documentation continuing education and 8-hours of ethics continuing education, within 6 months from the effective date of the Board Order. Additionally, Respondent was required to

- complete a 12-week Aftercare program within 6 months from his release from in-patient treatment and provide the Board with documentation of his successful completion.
- 14. On or about April 24, 2015, Board staff sent Respondent a letter, to his address of record with the Board, confirming the effective date of the Order and the probationary time frames. The letter was returned to the Board on April 28, 2015, marked by the United States Postal Service with the notation, "return to sender attempted not known unable to forward."
- 15. On or about October 26, 2015, Respondent submitted a letter to the Board and provided a letter from his primary counselor attesting that Respondent had successfully completed 12 weeks of aftercare. Respondent advised the Board that due to financial constraints he was unable to complete the documentation and ethics continuing education courses as required by the Board. The letter did not provide a return address.
- 16. On or about October 26, 2015, Respondent submitted an October 15, 2015, letter from La Frontera Arizona, written by his treatment provider who affirmed that Respondent had successfully completed his 12-week Aftercare program and supported his career endeavors.
- On or about November 9, 2015, Board staff sent Respondent notice, by certified mail to his address of record with the Board, that the Board had opened a complaint against his license regarding his noncompliance with the terms of his Order for probation. In the letter Board staff notified Respondent that he had 30 days to submit his response as required by A.A.C. R4-24-305(D)(2). The certified letter was returned to the Board on November 19, 2015, marked by the United States Postal Service with the notation, "FORWARD TIME EXP RTN TO SEND." On November 20, 2015, Board staff also sent the notice of complaint by email. Respondent failed to respond either timely or untimely to the Board's notice of complaint.

18. On or about January 26, 2016, the Board reviewed the allegations of Respondent's noncompliance with the Order and voted the matter to formal hearing

Mr. Clinton seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

Mr. D'Appollonio moved the Board accept as Conclusions of Law, the allegations of unprofessional conduct which include violations of A.R.S. §32-2044(1), A.R.S. §32-2044(23), as outlined in the Allegations of Unprofessional Conduct. Mr. Clinton seconded the motion. Following review and discussion the motion passed.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

Mr. D'Appollonio moved the Board revoke Mr. Bogie's license. Ms. Richardson seconded the motion. Following review and discussion the motion passed by roll call vote.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						

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Abstained				
Absent		X	X	

6) ADJOURNMENT

Ms. Richardson moved to adjourn the meeting. Mr. Clinton seconded the motion. The motion carried.

Vote	Mr. Clinton	Mr. D'Appollonio	Ms. Hunter	Dr. Miller	Ms. Remec	Ms. Richardson
Aye	X	X		X		X
Nay						
Recused						
Abstained						
Absent			X		X	

Meeting adjourned at 1:05 p.m.

Prepared by,

Monica Crowley

Office Manager

Approved by,

Al D'Appollonio, PT, MBA